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jc759 U.S. PTO

03-01-00

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PTO/SB/05 (2/98) (modified)

Approved for use through 9/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# **NEW UTILITY PATENT APPLICATION TRANSMITTAL**

(only for new nonprovisional applications under  
37 CFR 1.53(b))

Attorney Docket Number 3871

First Named Inventor Fang Wu

Total Pages in this  
Submission 75

Express Mail Label No. EL233814808US

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## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form (in duplicate)  
☒ Check Enclosed
2. ☒ Specification  
(preferred arrangement set forth below)
  - ☐ Descriptive Title of the Invention
  - ☐ Cross Reference(s) to Related Case(s)
  - ☐ Statement Regarding Fed sponsored R & D
  - ☐ Background of the Invention
  - ☐ Brief Summary of the Invention
  - ☐ Brief Description of the Drawing(s)
  - ☐ Detailed Description
  - ☐ Claim or Claims
  - ☐ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary per 35 USC 113)
4. Oath or Declaration
  - a. ☒ New Declaration  
☒ Executed
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

6. ☒ Assignment & Assignment Recordation Cover Sheet
7. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
8. ☐ Information Disclosure Statement & PTO-1449  
☐ Copies of IDS Citation(s)
9. ☐ Preliminary Amendment
10. Small Entity Statement  
☐ New Statement enclosed  
☐ Statement filed in prior application. Status still proper and desired
11. ☒ Return Postcard
12. ☐
13. ☐
14. ☐
15. ☐
16. ☐

### ADDRESS TO:

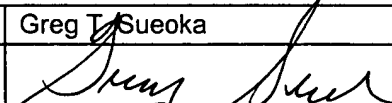
Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_/\_\_\_\_

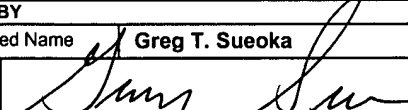
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

### 18. CORRESPONDENCE ADDRESS

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Signature				Date	February 28, 2000

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	<b>Complete if Known</b> Application Number _____ Filing Date <b>February 28, 2000</b> First Named Inventor <b>Fang Wu</b> Group Art Unit _____ Examiner Name _____ Attorney Docket Number <b>3870</b>
<b>FEE TRANSMITTAL</b>  <b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$820.00)</b>		

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																												
<b>1. The Commissioner is hereby authorized to:</b> <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>1</sup> <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account. Deposit Account Number: <b>19-2555</b> Deposit Account Name: <b>FENWICK &amp; WEST LLP</b> A Duplicate Copy of this authorization is attached <b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td style="text-align: right;">[ ]</td></tr> <tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td style="text-align: right;">[ ]</td></tr> <tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td style="text-align: right;">[ ]</td></tr> <tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month<sup>†</sup></td><td style="text-align: right;">[ ]</td></tr> <tr><td>116/\$380</td><td>216/\$190</td><td>Extension for response within second month<sup>†</sup></td><td style="text-align: right;">[ ]</td></tr> <tr><td>117/\$870</td><td>217/\$435</td><td>Extension for response within third month<sup>†</sup></td><td style="text-align: right;">[ ]</td></tr> <tr><td>118/\$1,360</td><td>218/\$680</td><td>Extension for response within fourth month<sup>†</sup></td><td style="text-align: right;">[ ]</td></tr> <tr><td>128/\$1,850</td><td>228/\$925</td><td>Extension for response within fifth month<sup>†</sup></td><td style="text-align: right;">[ ]</td></tr> <tr><td>119/\$300</td><td>219/\$150</td><td>Notice of Appeal</td><td style="text-align: right;">[ ]</td></tr> <tr><td>141/\$1,210</td><td>241/\$605</td><td>Petition to revive unintentionally abandoned application</td><td style="text-align: right;">[ ]</td></tr> <tr><td>142/\$1,210</td><td>242/\$605</td><td>Utility Issue Fee (Or Reissue)</td><td style="text-align: right;">[ ]</td></tr> <tr><td>143/\$430</td><td>243/\$215</td><td>Design Issue Fee</td><td style="text-align: right;">[ ]</td></tr> <tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td style="text-align: right;">[ ]</td></tr> <tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td style="text-align: right;">[ ]</td></tr> <tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td style="text-align: right;">[ ]</td></tr> <tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">[ <b>40.</b> ]</td></tr> <tr><td>146/\$690</td><td>246/\$345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td style="text-align: right;">[ ]</td></tr> <tr><td>149/\$690</td><td>249/\$345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td style="text-align: right;">[ ]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[ ]</td></tr> <tr><td colspan="3">Other fee (specify):</td><td style="text-align: right;">[ ]</td></tr> <tr> <td colspan="3" style="text-align: right;"><b>SUBTOTAL (3)</b></td> <td style="text-align: right;"><b>(\$40.00)</b></td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	[ ]	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	[ ]	147/\$2,520	147/\$2,520	For filing a request for reexamination	[ ]	115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	[ ]	116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	[ ]	117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	[ ]	118/\$1,360	218/\$680	Extension for response within fourth month <sup>†</sup>	[ ]	128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	[ ]	119/\$300	219/\$150	Notice of Appeal	[ ]	141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	[ ]	142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	[ ]	143/\$430	243/\$215	Design Issue Fee	[ ]	122/\$130	122/\$130	Petitions to the Commissioner	[ ]	123/\$50	123/\$50	Petitions related to provisional applications	[ ]	126/\$240	126/\$240	Submission of Information Disclosure Statement	[ ]	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	[ <b>40.</b> ]	146/\$690	246/\$345	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]	149/\$690	249/\$345	For each additional invention to be examined (37 CFR 1.129(b))	[ ]	Other fee (specify):			[ ]	Other fee (specify):			[ ]	<b>SUBTOTAL (3)</b>			<b>(\$40.00)</b>				
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<b>SUBMITTED BY</b> Typed or Printed Name <b>Greg T. Sueoka</b>		<b>Complete (if applicable)</b> Reg. Number <b>33,800</b>	
Signature		Date	February 28, 2000

20940/03870/DOCS/1009325.1

<sup>1</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby  
Rev. 11/04/99